

# CHARACTERISTIC $p$ PHENOMENA IN BRAUER GROUPS

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## LECTURE 1 (BRIGHT): TITLE

### 1. SETTING THE SCENE

Today, everything is “standard material”, meaning at least 30 years old, but is to gather things in place and set the scene for what will later come.

- Let  $X$  be a geometrically irreducible variety over a field  $k$  which is of characteristic 0 or is perfect of characteristic  $p$ .
- If  $k$  is a discretely valued field of characteristic 0, we write  $\mathcal{O}_k$  for the ring of integers,  $\mathbb{F}$  for the residue field of characteristic  $p$ ,  $\mathcal{X}/\mathcal{O}_K$  for a scheme with generic fibre  $X/K$  and special fibre  $Y/\mathbb{F}$ .

Our aim will be to study the Brauer group  $\mathrm{Br}(X) := H^2(X, \mathbb{G}_m) \subseteq \mathrm{Br}(k(X))$  where here this cohomology is étale or equivalently flat.

#### 1.1. Recall the situation in characteristic 0. Recall the Kummer sequence

$$0 \rightarrow \mu_n \rightarrow \mathbb{G}_m \rightarrow \mathbb{G}_m \rightarrow 0,$$

This relates  $\mathrm{Br}(X)$  to étale cohomology groups with constructible coefficients (i.e.  $\mu_n$ , which is a particularly nice one!). In particular it gives the short exact sequence

$$0 \rightarrow \mathrm{Pic}(X)/n\mathrm{Pic}(X) \rightarrow H^2(X, \mu_n) \rightarrow \mathrm{Br}(X)[n] \rightarrow 0.$$

Below are some fundamental theorems in this context.

- *finiteness*:  $H^2(\bar{X}, \mu_n) \cong H^2(\bar{X}, \mathbb{Z}/n\mathbb{Z})$  is finite.
- *Proper base change*:  $H^2(\bar{X}, \mathbb{Z}/n\mathbb{Z}) \cong H^2(X_K, \mathbb{Z}/n\mathbb{Z})$  for  $\bar{k} \subseteq K$  algebraically closed.
- *Comparison*:

$$H^2(X_{\mathbb{C}}, \mathbb{Z}/n\mathbb{Z}) \cong H_{\mathrm{an}}^2(X(\mathbb{C}), \mathbb{Z}/n\mathbb{Z}).$$

Combining these we can prove

$$0 \rightarrow (\mathbb{Q}/\mathbb{Z})^{b_2 - \rho} \rightarrow \mathrm{Br}(\bar{X}) \rightarrow H_{\mathrm{an}}^3(X(\mathbb{C}), \mathbb{Z})_{\mathrm{tors}} \rightarrow 0$$

- *smooth base change*:  $H^2(\bar{X}, \mu_n)$  is constant on geometric fibres of a smooth family.
- *Gysin sequence*: if  $D \subseteq X$  is a smooth prime divisor then we have an exact sequence

$$0 \rightarrow \mathrm{Br}(X) \rightarrow \mathrm{Br}(X \setminus D) \xrightarrow{\partial_D} H^1(D, \mathbb{Q}/\mathbb{Z}).$$

Now for characteristic  $p$ ! When  $\text{char}(k) = p$  and  $p \nmid n$ , these statements above all still hold and describe  $\text{Br}(X)(p')$  (the prime to  $p$  part).

Also in mixed characteristic, e.g. smooth base change for  $\mathcal{X}/\mathcal{O}_K$  smooth and proper gives

$$H^2(\overline{Y}, \mu_n) \cong H^2(\overline{X}, \mu_n),$$

and we get a Gysin sequence

$$0 \rightarrow \text{Br}(\mathcal{X})(p') \rightarrow \text{Br}(X)(p') \rightarrow H^1(Y, \mathbb{Q}/\mathbb{Z}).$$

This is useful in studying the evaluation map

$$X(K) \xrightarrow{\mathcal{A}} \text{Br}(k)$$

for  $\mathcal{A} \in \text{Br}(X)$  by the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Br}(\mathcal{X})(p') & \longrightarrow & \text{Br}(X)(p') & \longrightarrow & H^1(Y, \mathbb{Q}/\mathbb{Z}) \\ & & & & \downarrow \text{ev}_P & & \downarrow \text{ev}_{P_0} \\ & & & & \text{Br}(k) & \longleftarrow & H^1(F, \mathbb{Q}/\mathbb{Z}) \end{array}$$

for  $P \in X(k)$  reducing to  $P_0 \in Y(\mathbb{F})$

**1.2. What goes wrong for the  $p$ -part?** Let  $X$  be smooth and geometrically integral over  $k$  with characteristic  $p$ . The Kummer sequence fails now to be exact in the étale topology, and  $H^2(X_{\text{ét}}, \mu_p)$  is not useful. We can use  $H^2(X_{\text{fppf}}, \mu_p)$  instead. The Artin–Schreier sequence

$$0 \rightarrow \mathbb{Z}/p \rightarrow \mathcal{O}_X \xrightarrow{1-F} \mathcal{O}_X \rightarrow 0$$

then gives us ways to compute. Moreover,  $H^i(X, \mathbb{Z}/p\mathbb{Z}) = 0$  for  $i > \dim(X) + 1$ , and  $H^i(X_{\text{fppf}}, \mu_p)$  contains the  $k$ -points of a unipotent group.

If we're in the mixed characteristic setting, things still go wrong! Indeed, for  $\mathcal{X}/\mathcal{O}_k$  with  $\text{char}(\mathbb{F}) = p$  the Gysin sequence fails because there's no way to define  $\partial_Y$  on  $\text{Br}(X)[p]$ . Moreover, smooth base change fails e.g. by [BMS18] where the authors give two smooth proper 3-folds over  $\mathbb{Q}_2$  with the same smooth reduction but different  $H^2(-, \mathbb{Z}/2)$ .

One conclusion you could draw from this is that characteristic  $p$  is hopeless!

**1.3. Differentials and the Cartier operator.** Let  $X$  be smooth over a perfect field  $k$  of characteristic  $p$ . We have the de Rham complex

$$\mathcal{O}_X \xrightarrow{d} \Omega_{X/k}^1 \xrightarrow{d} \Omega_{X/k}^2 \rightarrow \dots$$

where we define  $Z^i := \ker(d)$  and  $B^i = \text{im}(d)$ , each in the  $i$ th position. Note that  $d$  is *not* a map of  $\mathcal{O}_X$ -modules. But something odd happens in characteristic  $p$ :

$$d(f^p \omega) = p f^{p-1} d(f) \wedge \omega + f^p d(\omega) = f^p d(\omega).$$

So it is linear if we let  $\mathcal{O}_X$  act through  $f \mapsto f^p$ . Indeed, then  $Z^i$  and  $B^i$  are then  $\mathcal{O}_X$ -submodules.

**Definition 1.** Define  $\text{dlog} : \mathcal{O}_X^\times \rightarrow \Omega_{X/k}^1$  by  $\text{dlog}(f) = \frac{df}{f}$ .

This map is a group homomorphism via the Leibniz rule:

$$\mathrm{dlog}(fg) = \frac{d(fg)}{fg} = \frac{fd(g) + gd(f)}{fg} = \mathrm{dlog}(f) + \mathrm{dlog}(g)$$

Moreover in the étale topology we define  $\Omega_{X/k, \mathrm{dlog}}^1 := \mathrm{im}(\mathrm{dlog})$  and can show that  $\ker(\mathrm{dlog}) = (\mathcal{O}_X^\times)^p$ . Hence we have a short exact sequence

$$0 \rightarrow \mathbb{G}_m \xrightarrow{p} \mathbb{G}_m \rightarrow \Omega_{X/k, \mathrm{dlog}}^1 \rightarrow 0$$

We will use this to replace the Kummer sequence.

Cartier defined a map  $C : Z^i/B^i \rightarrow \Omega_{X/k}^i$  (yes, a subquotient mapping back to the whole!), satisfying

$$C \left( x^p \frac{dy_1}{y_1} \wedge \cdots \wedge \frac{dy_i}{y_i} \right) = x \frac{dy_1}{y_1} \wedge \cdots \wedge \frac{dy_i}{y_i}.$$

This map  $C$  is an isomorphism. The map going in the other direction is a bit like a Frobenius operator, morally.

**Theorem 2** (Cartier).  $\omega \in \Omega_{X/k}^1$  is logarithmic if and only if  $d\omega = 0$  and  $C(\omega) = \omega$ .

An excellent survey of this is Colliot-Thélène's survey on the work of Bloch–Kato. We have a commutative diagram

$$\begin{array}{ccc} Z^i & \xrightarrow{C^{-1}} & \Omega_{X/K}^i \\ \downarrow C & & \downarrow \\ \Omega_{X/k}^i & \xrightarrow{1-C^{-1}} & \Omega_{X/k}^i/B^i. \end{array}$$

which gives

$$0 \rightarrow \Omega_{X/k, \mathrm{dlog}}^1 \rightarrow \Omega_{X/k}^1 \xrightarrow{1-C^{-1}} \Omega_{X/k}^1/B^1 \rightarrow 0.$$

Milne defined  $\nu(i) := \ker \left( Z^i \xrightarrow{C^{-1}} \Omega_{X/K}^i \right)$ , note that  $\nu(1) = \Omega_{X/k, \mathrm{dlog}}^1$ .

Bloch showed that  $\nu(i)$  is generated locally by elements of the form  $\frac{dy_1}{y_1} \wedge \cdots \wedge \frac{dy_i}{y_i}$ .

Let  $\Omega_{\mathrm{log}}^i := \nu(i)$  (note that  $\nu(0) = \mathbb{Z}/p$ ), which will be our higher degree analogue of logarithmic differentials. As above we get

$$0 \rightarrow \Omega_{\mathrm{log}}^i \rightarrow \Omega^i \xrightarrow{1-c^{-1}} \Omega^i/B^i \rightarrow 0$$

For  $i = 0$  this is the Artin–Schreier sequence! Now, for a notation we define

$$\mathbb{Z}/p\mathbb{Z}(r) := \Omega_{\mathrm{log}}^r[-r],$$

where by the  $[-r]$  we just mean degree shifting in the appropriate derived category. Thus, entirely formally, we have

- $H^r(Z, \mathbb{Z}/p\mathbb{Z}(r)) = H^0(X, \Omega_{\mathrm{log}}^r)$
- $H^r(Z, \mathbb{Z}/p\mathbb{Z}(r-1)) = H^1(X, \Omega_{\mathrm{log}}^r)$

1.4. **The de Rham Witt complex.** Now we want to see what happens for higher powers of  $p$ . It's complicated, but we'll see only what we need! Recall the following.

**Definition 3.** Let  $A$  be a ring of characteristic  $p$ , then we will define rings  $W_n(A)$  and  $W(A) = \varprojlim W_n$ . They satisfy

- $W_1(A) := A$
- Teichmüller map:  $x \in A \mapsto \bar{x} \in W(A)$
- There are maps
  - $V : W(A) \rightarrow W(A)$
  - $F : W(A) \rightarrow W(A)$  lifting Frobenius
  - $VF = FV = p$ .

These were introduced by Witt (1937) in order to generalise Artin–Schreier theory.

These sheafify nicely so we get  $W_n\mathcal{O}_X$  and  $W\mathcal{O}_X$  and an exact sequence in the étale topology

$$0 \rightarrow \mathbb{Z}/p^n \rightarrow W_n\mathcal{O}_X \xrightarrow{1-F} W_n\mathcal{O}_X \rightarrow 0$$

**Theorem 4** (Deligne–Illusie 1979). *Define the de Rham–Witt complex: a projective system of complexes  $W_n\Omega^i$  (one for each  $n$ ) with differentials induced by  $d$  in some way and the following properties*

- $W_n\Omega_X^0 = W_n\mathcal{O}_X$ .
- $V : W_n\Omega_X^i \rightarrow W_{n+1}\Omega_X^i$ .
- $F : W_{n+1}\Omega_X^i \rightarrow W_n\Omega_X^i$ .
- $FV = VF = p$
- $Fd\underline{x} = \underline{x}^{p-1}d\underline{x}$ , i.e.  $F$  extends inverse Cartier.

These complexes all package together as  $W\Omega_X^i := \varprojlim W_n\Omega_X^i$ .

Deligne–Illusie's motivation for this is the slope spectral sequence

$$E_1^{i,j} = H^j(X, W\Omega_X^i) \implies H^{i+j}(X/W)$$

where the right hand side is crystalline cohomology, and  $W = W(k)$ .

**Definition 5.** We define

$$\mathrm{dlog} : \mathcal{O}_X^\times \rightarrow W_n\Omega_X^1$$

by  $\mathrm{dlog}(f) = \frac{df}{f}$ . We define  $W_n\Omega_{\log}^1$  for the image of  $\mathrm{dlog}$ . This gives us a short exact sequence

$$0 \rightarrow \mathcal{O}_X^\times \xrightarrow{p^n} \mathcal{O}_X^\times \xrightarrow{\mathrm{dlog}} W_n\Omega_{\log}^1 \rightarrow 0.$$

We then take the notation  $\mathbb{Z}/n\mathbb{Z}(r)$  by writing  $n = p^s m$  for  $m$  coprime to  $p$  and then

$$\mathbb{Z}/n\mathbb{Z}(r) := \mu_m^{\otimes r} \oplus W_s\Omega_{X,\log}^r[-r].$$

Then

$$0 \rightarrow \mathbb{Z}/n\mathbb{Z}(1) \rightarrow \mathbb{G}_m \xrightarrow{n} \mathbb{G}_m \xrightarrow{[1]} 0$$

is a triangle in the étale topology for all  $n$  ( $X$  either characteristic 0 or smooth over a perfect field of characteristic  $p$ ).

1.5. **Okay, but what about Artin–Schreier?** We have

$$0 \rightarrow W_{\bullet}\Omega_{X,\log}^i \rightarrow W_{\bullet}\Omega_X^i \xrightarrow{1-F} W_{\bullet}\Omega_X^i \rightarrow 0.$$

For fixed  $n$

$$0 \rightarrow W_n\Omega_{X,\log}^i \rightarrow W_n\Omega_X^i \xrightarrow{1-F} W_n\Omega_X^i/dV^{n-1}\Omega_X^{i-1} \rightarrow 0.$$

In particular, we get

$$H^0(X, W_n\Omega_X^1) \rightarrow H^1(X, W_n\Omega_{X,\log}^1) = H^2(X, \mathbb{Z}/p^n(1)) \rightarrow \text{Br}(X)[p^n].$$

For  $n = 1$  we have  $x \frac{dy}{y} \mapsto [x, y]$  (in the notation of Serre’s local fields) which is a cup product.

1.6. **Relation to flat cohomology.** We left flat cohomology hanging earlier, what’s going on there? Well we need to understand  $H_{\text{fppf}}^2(X, \mu_{p^n})$ . Let us consider the usual morphism of sites  $\varepsilon : X_{\text{fppf}} \rightarrow X_{\text{et}}$ , then we get a Leray spectral sequence

$$E_2^{ij} : H^i(X_{\text{et}}, R^j\varepsilon_*\mu_{p^n}) \implies H^{i+j}(X_{\text{fppf}}, \mu_{p^n}).$$

Use the Kummer sequence

$$0 \rightarrow \mu_{p^n} \rightarrow \mathbb{G}_m \rightarrow \mathbb{G}_m \rightarrow 0$$

on  $X_{\text{fppf}}$ . We have  $\varepsilon_*\mathbb{G}_m = \mathbb{G}_m$  and  $R^j\varepsilon_*\mathbb{G}_m = 0$  for  $j > 0$ . So

$$0 \rightarrow \varepsilon_*\mu_{p^n} \rightarrow \mathbb{G}_m \xrightarrow{p^n} \mathbb{G}_m \rightarrow R^1\varepsilon_*\mu_{p^n} \rightarrow 0$$

on  $X_{\text{et}}$ . Hence  $\varepsilon_*\mu_{p^n} = 1$  and  $R^1\varepsilon_*\mu_{p^n} \cong W_n\Omega_{X,\log}^1$  and hence

$$H^i(X_{\text{fppf}}, \mu_{p^n}) \cong H^{i-1}(X, W_n\Omega_{X,\log}^1).$$

1.7. **Milnor K-theory and the Bloch–Kato Conjecture.** Let  $K$  be any field, and define the Milnor K-theory of  $K$  to be

$$K_0(K) = \mathbb{Z}$$

$$K_1(K) = K^\times$$

$$K_r(K) = (K^\times)^{\otimes r} / \langle x_1 \otimes \cdots \otimes x_r : x_i + x_j = 1 \ \forall i \neq j \rangle$$

As a matter of notation, we will write  $\{x_1, \dots, x_r\} \in K_r(K)$  for the class of the elementary tensor  $x_1 \otimes \cdots \otimes x_r$ . If  $\text{char}(K) \nmid n$  then

$$K^\times / K^{\times n} \xrightarrow{h_n} H^1(K, \mu_n),$$

and moreover we can extend via the cup product to

$$K_r(K) / nK_r(K) \xrightarrow{h_n^r} H^r(K, \mu_n^{\otimes r}).$$

- Bloch–Kato conjecture:  $h_n^r$  is an isomorphism
- Proved by Voevodsky, Rost, Suslin, ... and in the case  $r = 2$  this was shown by Merkurjev–Suslin.

If  $\text{char}(K) = p$  then we define a different map

$$\begin{aligned} \psi^r : K_r(K) &\rightarrow \Omega_K^r \\ \{x_1, \dots, x_r\} &\mapsto \frac{dx_1}{x_1} \wedge \cdots \wedge \frac{dx_r}{x_r} \end{aligned}$$

The image is contained in  $\nu(r) := \ker \left( \Omega^r \xrightarrow{1-c^{-1}} \Omega_K^r / B^r \right)$ .

**Theorem 6** (Bloch–Gabber–Kato).  $K_r(K)/p^n \rightarrow W_n \Omega_{K, \log}^r \rightarrow H^r(K, \mathbb{Z}/p^n \mathbb{Z}(r))$  is an isomorphism

## LECTURE 2 (MOLYAKOV)

### 2. RAMIFICATION THEORY OF HENSELIAN DVRs

**2.1. Classical case.** Let  $K$  be a local field and  $L/K$  be finite Galois with  $\text{Gal}(L/K) =: G$ . Then we recall the lower numbered ramification filtration

$$G \geq G_0 \geq G_1 \geq \dots$$

where

$$G_i := \ker(G \rightarrow \text{Aut}(\mathcal{O}_L/\mathfrak{m}_L^{i+1})).$$

There is then the upper numbering of these groups, constructed using the Herbrand function  $\psi$  which is defined as the inverse to

$$\begin{aligned} \psi^{-1} : [-1, \infty) &\rightarrow [-1, \infty) \\ u &\mapsto \int_0^u \frac{dt}{[G_0 : G_t]}. \end{aligned}$$

The upper numbering is then defined by  $G^i := G_{\psi(i)}$ . The upper numbering is well behaved in towers in ways that the lower numbering is not. In particular we can construct from limiting the upper numbering:

- $\{\Gamma_K^i\}$  a filtration on  $\Gamma_K = \text{Gal}(\overline{K}/K)$ .
- a filtration on  $H^1(K, \mathbb{Q}/\mathbb{Z})$ .

**Theorem 7.** (*Hasse–Arf*)  $\text{fil}_i H^1(K, \mathbb{Q}/\mathbb{Z}) = \text{fil}_{[i]} H^1(K, \mathbb{Q}/\mathbb{Z})$ .

There is also local Tate duality:

**Theorem 8.** *There is a perfect pairing*

$$H^1(K, \mathbb{Z}/n\mathbb{Z}) \times H^1(K, \mathbb{Z}/n\mathbb{Z}(1)) \rightarrow \text{Br}(K)[n] \cong \frac{1}{n}\mathbb{Z}/\mathbb{Z}$$

*Using this pairing, the ramification filtration on  $H^1(K, \mathbb{Z}/n\mathbb{Z})$  is the annihilator of the image of  $U^{(i+1)}$  under the Kummer map  $K^\times \rightarrow H^1(K, \mathbb{Z}/n\mathbb{Z}(1))$ . That is,*

$$\text{fil}_i H^1(K, \mathbb{Z}/n\mathbb{Z}) := \text{Ann}(\text{im}(U^{(i+1)})).$$

Now for some remarks.

*Remark 9.* The following should be observed.

- The classical theory works for  $\widehat{K}$  a complete discretely valued field with perfect residue field.
- Let  $R$  be a Henselian DVR,  $\widehat{R}$  its completion,  $K = \text{Frac}(R)$ ,  $\widehat{K} := \text{Frac}(\widehat{R})$ . There is a natural bijection

$$\left\{ \begin{array}{l} \text{finite separable} \\ \text{extensions of } K \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{finite separable} \\ \text{extensions of } \widehat{K} \end{array} \right\}$$

preserving Galois exactly, i.e.  $\Gamma_K = \Gamma_{\widehat{K}}$ .

Geometrically we will be interested in the behaviour of schemes over local rings and their special fibres, which fit into a diagram of the shape below.

$$\begin{array}{ccccc}
\mathrm{Spec}(K_v) & \longrightarrow & \mathrm{Spec}(\mathcal{O}_{\mathcal{X},\nu}) & \longleftarrow & \nu \\
\downarrow & & \downarrow & & \downarrow \\
X & \longrightarrow & \mathcal{X} & \longleftarrow & Y \\
\downarrow & & \downarrow & & \downarrow \\
\mathrm{Spec}(\mathbb{Q}_p) & \longrightarrow & \mathrm{Spec}(\mathbb{Z}_p) & \longleftarrow & \mathbb{F}_p
\end{array}$$

**2.2. Kato's Swan conductor.** Let  $R$  be a Henselian DVR and  $K := \mathrm{Frac}(R)$  with  $R/\mathfrak{m} = F$  the residue field. We will write

$$\begin{aligned}
H_n^q(K) &= H^q(K, \mathbb{Z}/n\mathbb{Z}(q-1)) \\
H^q(K) &= \varinjlim_n H_n^q(K)
\end{aligned}$$

**Fact:** It is a fact, but a very difficult one, that  $H_n^q(K) \rightarrow H^q(K)$  is injective.

Moreover, if we take the Kummer map  $K^\times \rightarrow H^1(K, \mathbb{Z}/n\mathbb{Z}(1))$  then we can use the cup product map to construct pairings

$$\begin{aligned}
\{\cdot, \cdot\} &: H_n^q(K) \times K^\times \rightarrow H_n^{q+1}(K) \\
\{\cdot, \cdot\} &: H^q(K) \times K^\times \rightarrow H^{q+1}(K).
\end{aligned}$$

**Definition 10.** We define a filtration

$$\mathrm{fil}_i H^q(K) := \left\{ \chi \in H^q(K) : \begin{array}{l} \forall \text{ Henselian DV fields} \\ K'/K \text{ s.t. } R' \supset R, \mathfrak{m}' \supset \mathfrak{m} \\ \text{we have } \{\chi, 1 + \pi_{K'} R'\} = 0 \\ \text{in } H^{q+1}(K') \end{array} \right\}$$

Moreover, the Swan conductor of  $\chi \in H^1(K)$  is defined to be

$$\mathrm{sw}(\chi) := \min \{i : \chi \in \mathrm{fil}_i H^q(K)\}$$

*Remark 11.* Let  $\tilde{R} := R[T]_{(\pi)}^h$  and  $\tilde{K} := \mathrm{Frac}(\tilde{R})$  then  $\chi \in \mathrm{fil}_i H^q(K)$  if and only if  $\{\chi, 1 + \pi^{i+1}T\} = 0$  in  $H^{q+1}(K)$ .

**Proposition 12.** *The following are true.*

- (1) *The prime-to-characteristic-of- $K$  part of  $H^q(K)$  is contained in  $\mathrm{fil}_0 H^q(K)$ .*
- (2)  $H^q(K) = \bigcup_i \mathrm{fil}_i H^q(K)$

*Proof.* (1)  $U^{(1)} \subseteq R^{\times r}$  for all  $r$  coprime to the residue characteristic by Hensel.  
(2) If  $\mathrm{char}(K) = 0$  and  $\mathrm{char}(F) = p$ , then we need to check that the  $p$ -primary part lives in a piece of the filtration. Let  $e = \mathrm{ord}_\pi(p)$ .

**Lemma 13.** *For any  $i > \frac{e}{p-1}$  we have  $U^{(i+e)} = (U^{(i)})^p$ .*

**Corollary 14.**  $\mathrm{fil}_i H_{p^n}^q(K) = H_{p^n}^q(K)$  for  $i > \frac{e}{p-1}$ .

Hence the result follows. □

**Theorem 15** (Kato). *There is an exact sequence*

$$0 \rightarrow H^q(K) \xrightarrow{\iota_q} \text{fil}_0 H^q(F) \xrightarrow{\text{res}} H^{q-1}(F) \rightarrow 0$$

where:

- $\iota_q$  is defined by

$$\iota_q : H^1(F, \mathbb{Q}/\mathbb{Z}) \rightarrow H^1(K, \mathbb{Q}/\mathbb{Z})$$

$$\iota_q \{\chi, \bar{a}\} = \{\iota^{q-1}(\chi), a\}$$

for all  $\chi \in H^{q-1}(F)$  and  $a \in R^\times$ .

- res is defined by

$$\text{res} \{\iota^{q-1}(\chi), \pi\} = \chi$$

for all  $\chi \in H^{q-1}(F)$ .

**Example 16.** [R:I missed an example involving  $\Omega_{\log}$  and  $\Omega_F^q/B^q$ ]

It is almost a corollary of the theorem that the zeroth term in the filtration then sees ramification in the following sense.

**Corollary 17.**  $\text{fil}_0 H^q(K) \supseteq \ker(H^q(K) \rightarrow H^q(K^{\text{nr}}))$

**Example 18.**  $q = 2$ , then  $H^2(K) = \text{Br}(K)$  and  $\text{fil}_0 H^2(K) = \text{Br}(K^{\text{nr}}/K)$ . We get

$$\begin{array}{ccccccc} 0 & \longrightarrow & R^{\text{nr}, \times} & \longrightarrow & K^{\text{nr}, \times} & \longrightarrow & \mathbb{Z} \longrightarrow 0 \\ & & & & & & \\ 0 & \longrightarrow & H^2(F, R^{\text{nr}, \times}) & \longrightarrow & \text{fil}_0 H^2(K) & \longrightarrow & H^2(F, \mathbb{Z}) \longrightarrow 0 \\ & & \parallel & & & & \parallel \\ & & H^2(F) & & & & H^1(F, \mathbb{Q}/\mathbb{Z}) \end{array}$$

Let us now define Kato's swan conductor.

$$\begin{aligned} \Omega_R^q(\log) &:= \Omega_R^q + \Omega_R^{q-1} \wedge \frac{d\pi}{\pi} \subseteq \Omega_K^q \\ \omega_F^q &:= \Omega_R^q(\log) \otimes_R F \end{aligned}$$

Then we have noncanonically split exact sequences with vertical maps all being surjective below.

$$\begin{array}{ccccccc} 0 & \longrightarrow & \Omega^q(F) & \longrightarrow & \omega_F^q & \longrightarrow & \Omega^{q-1}(F) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & H_p^{q-1}(F) & \longrightarrow & \text{fil}_0 H_p^{q-1}(K) & \longrightarrow & H_p^q(F) \longrightarrow 0 \end{array}$$

**Theorem 19.** *Let  $\chi \in \text{fil}_i H^q(K)$  for  $i \geq 1$ , then there exists a unique  $\eta \in \mathfrak{m}_R^{-i} \otimes \omega_F^q$  such that for all Henselian DV extensions  $R'/R$  we have  $\{\chi, 1+z\} = \lambda_{R'}(z\eta)$  for all  $z \in \mathfrak{m}_{R'}^i$ . Moreover,  $\chi \mapsto \eta$  induces an injection*

$$\text{gr}_i : H^q(K) \rightarrow \mathfrak{m}_R^{-i} \otimes \omega_F^q$$

This is concerning joint work with Rachel Newton. Let  $K$  be  $p$ -adic field with integers  $\mathcal{O}_K$ , residue field  $\mathbb{F}$ , uniformiser  $\pi$ . Let  $\mathcal{X}/\mathcal{O}_K$  be smooth with geometrically integral fibres and write  $X/K$  for the generic fibre and  $Y/\mathbb{F}$  for the special fibre.

**Definition 20.** Now we will define the *evaluation filtration* on  $\mathrm{Br}X$  as follows. For  $n \geq 0$  we define

$$\mathrm{Ev}_n \mathrm{Br}X = \left\{ A \in \mathrm{Br}X : \begin{array}{l} \forall K'/K \text{ finite } \forall P \in \mathcal{X}(\mathcal{O}_{K'}), \\ A \text{ constant on } B(P, e(n+1)) \\ \text{where } e = e(K'/K) \end{array} \right\}$$

$$\mathrm{Ev}_{-1} \mathrm{Br}X = \left\{ A \in \mathrm{Br}X : \begin{array}{l} \forall K'/K \text{ finite } \forall P \in \mathcal{X}(\mathcal{O}_{K'}), \\ A \text{ constant on } \mathcal{X}(\mathcal{O}_{K'}) \end{array} \right\}$$

$$\mathrm{Ev}_{-2} \mathrm{Br}X = \left\{ A \in \mathrm{Br}X : \begin{array}{l} \forall K'/K \text{ finite } \forall P \in \mathcal{X}(\mathcal{O}_{K'}), \\ A \text{ is zero on } \mathcal{X}(\mathcal{O}_{K'}) \end{array} \right\}$$

Now let  $K = \mathrm{Frac}(\mathcal{O}_{\mathcal{X}, Y}^h)$  and  $F = \mathbb{F}(Y)$ , then Kato defined  $\mathrm{fil}_n \mathrm{Br}K$  and injections

$$\mathrm{rsw}_n : \frac{\mathrm{fil}_n \mathrm{Br}(K)}{\mathrm{fil}_{n-1} \mathrm{Br}(K)} \rightarrow \mathfrak{m}^{-n} \otimes \omega_F^2 \cong \Omega_F^2 \oplus \Omega_F^1$$

which is an element of the form  $\pi^{-n}(\alpha + \beta \frac{d\pi}{\pi})$ . It turns out that Kato's filtration is not quite the right thing to do here.

**Definition 21.** For  $n \geq 1$

$$\tilde{\mathrm{fil}}_n \mathrm{Br}X := \left\{ A \in \mathrm{fil}_{n+1} \mathrm{Br}X : \mathrm{rsw}_n(A) \in \Omega_F^2 \right\}$$

*Remark 22.*  $n\alpha = d\beta$ , so  $\tilde{\mathrm{fil}}_n = \mathrm{fil}_n$  if  $p \nmid (n+1)$

**Theorem 23** (Theorem A). For  $n \geq 1$

$$\mathrm{Ev}_n \mathrm{Br}X = \tilde{\mathrm{fil}}_n \mathrm{Br}X$$

$$\mathrm{Ev}_0 \mathrm{Br}X = \mathrm{fil}_0 \mathrm{Br}X$$

$$\mathrm{Ev}_{-1} \mathrm{Br}X = \left\{ A \in \mathrm{fil}_0 \mathrm{Br}X : \partial A \in H^1(\mathbb{F}, \mathbb{Q}/\mathbb{Z}) \subseteq H^q(F, \mathbb{Q}/\mathbb{Z}) \right\}$$

$$\mathrm{Ev}_{-2} \mathrm{Br}X = \left\{ A \in \mathrm{fil}_0 \mathrm{Br}X : \partial A = 0 \in H^1(\mathbb{F}, \mathbb{Q}/\mathbb{Z}) \right\}$$

*Remark 24.* This is all happening in mixed characteristic, for the equal characteristic version see the very recent work of A. Krishna and S. Majumder.

We prove this through a local study of the evaluation map.

Recall that for  $P_n \in \mathcal{X}(\mathcal{O}_K/\pi^n)$ , lifts to  $\mathcal{X}(\mathcal{O}_K/\pi^{n+1})$  are parametrised precisely by the tangent space  $T_{P_0}Y$ . We get for  $P \in \mathcal{X}(\mathcal{O}_K)$  and  $Q \in B(P, n)$ , a vector  $[P, Q]_n \in T_{P_0}Y$  identifying  $Q$  modulo  $\pi^{n+1}$ .

**Theorem 25** (Theorem B). Let  $A \in \mathrm{fil}_n \mathrm{Br}X$  for  $n > 0$  and write

$$\mathrm{rsw}_n(A) = (\alpha, \beta).$$

Then  $\alpha, \beta$  are regular on  $Y$ . For  $P \in \mathcal{X}(\mathcal{O}_K)$  and  $Q \in B(P, n)$ ,

$$\mathrm{inv}(A(Q)) = \mathrm{inv}(A(P)) + \frac{1}{p} \mathrm{Tr}_{\mathbb{F}/\mathbb{F}_p}(\beta_{P_0}([P, Q]_n)).$$

In particular,  $A$  is constant on  $B(P, n+1)$ , and if  $\beta_{P_0} \neq 0$  then  $A$  is nonconstant on  $B(P, n)$ .

*Remark 26.* This is somehow the obvious thing to do:  $\beta$  was a 1-form and  $[P, Q]$  was a tangent vector, so of course we should localise and evaluate. But that gave us an element of  $\mathbb{F}$ , and that's not obviously in  $\mathbb{Q}/\mathbb{Z}$ ... so surely we should take the trace down to  $\mathbb{F}_p$  which then has a natural map to  $\mathbb{Q}/\mathbb{Z}$  by lifting to the integers and multiplying by  $1/p$ .

We claim that Theorem B implies Theorem A. Indeed the proof goes as follows.

- Prove for  $\text{fil}_0$  using the same argument as in the prime-to- $p$  part
- use Theorem B to make the inductive step from  $\text{fil}_{n-1}$  to  $\text{fil}_n$ .

**Corollary 27** (Corollary of Theorem A). *The following hold*

- If  $H^0(Y, \Omega^1) = H^0(Y, \Omega^2) = 0$ , then  $\text{fil}_0 \text{Br}X = \text{Br}X$ .
- If  $H^0(Y, \Omega^1) = 0$  and  $e(K) < p - 1$ , then  $\text{fil}_0 \text{Br}X = \text{Br}X$ .
- If  $H^1(Y, \mathbb{Q}/\mathbb{Z}) = 0$  then  $\text{fil}_0 \text{Br}X = \text{Ev}_{-1} \text{Br}X$ .

*In particular, for a smooth projective variety  $V$  over a number field, with  $\text{Pic}(\bar{V})$  finitely generated and torsion free, the last two hold for almost all primes.*

**Theorem 28** (Theorem D). *Let  $V$  be a smooth proper geometrically integral variety over a number field  $L$  with  $H^0(V, \Omega^2) \neq 0$ . Let  $\mathfrak{p}|p$  be a prime of good ordinary reduction. Then after extending  $L$ , there exists  $A \in \text{Br}V[p^\infty]$  such that evaluation is nonconstant. In particular,  $V$  fails weak approximation.*

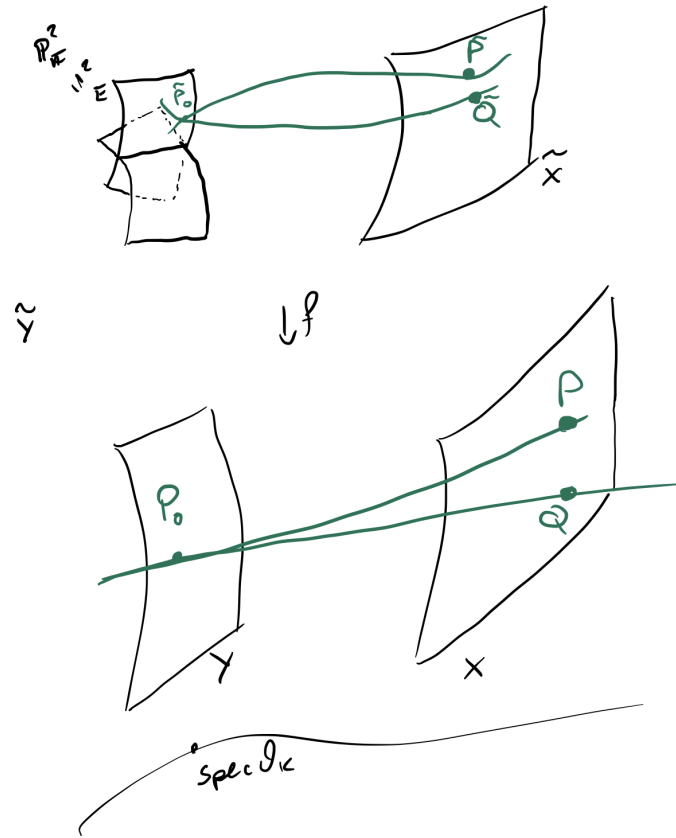
*Proof.* If  $H^q(Y, B^r) = 0$  for all  $q, r$  (here  $B$  is as in the de Rham complex from the first lecture), we say this is the ordinary case. Then Bloch–Kato use the Hodge decomposition in this case to get

$$\text{fil}_0 H^2(\bar{V}, \mathbb{Q}_p) \simeq H^{0,2} \oplus H^{1,1}$$

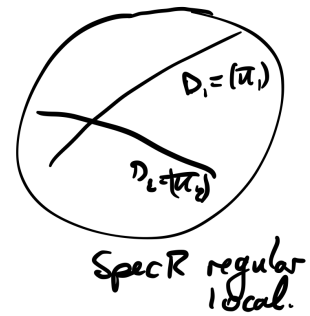
If  $H^{2,0}$  is nonzero then there is an element outside of  $\text{fil}_0$ .

See the paper of Ambrosi–Newton–Pagano (2025) where they were able to replace the étale cohomology here with prismatic cohomology and so get away from the ordinary assumption.  $\square$

Okay, so what about the proof of Theorem B?



*Proof sketch for Theorem B.*



The problem is to relate  $\text{rsw}_E(f^*A)$  to  $\text{rsw}_Y(A)$  via  $\text{rsw}_{\tilde{Y}}(A)$ .  
 $\chi \in H^q(\text{Spec}(R) \setminus D_1 \cup D_2)$ , write  $\text{rsw}_{D_i}(\chi) = \pi_1^{-n_1} \pi_2^{-n_2} (\alpha_i + \beta_i \frac{d\pi_i}{\pi_i})$ , where here  
 $n_i = \text{sw}_{D_i}(\chi)$ , then  $\alpha_i, \beta_i \in \Omega_{R/\pi_i}^{q, q-1}(\log D_j)$   $\square$

LECTURE 4 (MOLYAKOV): INTRODUCTION TO PRISMATIC COHOMOLOGY

## 3. MOTIVATION

Assume we have

$$\begin{array}{ccccc} X & \longrightarrow & \mathcal{X} & \longleftarrow & Y \\ \downarrow & & \downarrow & & \downarrow \\ \mathrm{Spec}(\mathbb{Q}) & \longrightarrow & \mathrm{Spec}(\mathbb{Z}/(p)) & \longleftarrow & \mathrm{Spec}(\mathbb{F}_p) \end{array}$$

Where we have good reduction mod  $p$ . Then for  $\ell \neq p$  we have  $H_{\mathrm{et}}^n(\overline{X}, \mathbb{Z}_\ell) \cong H_{\mathrm{et}}^n(\overline{Y}, \mathbb{Z}_\ell)$  and indeed this is also  $H^n(X_{\mathbb{C}}, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$ . The coprime-to- $p$  torsion of  $H^n(X_{\mathbb{C}}, \mathbb{Z})$  is determined by  $Y$ .

What about  $\ell$ -torsion for  $\ell = p$ ?

**Example 29** (Bhatt–Morrow–Scholze 2019). There exist two smooth projective 3-folds  $X_1, X_2$  with isomorphic good reductions mod  $p$ , and

$$\begin{aligned} H^1((X_1)_{\mathbb{C}}, \mathbb{Z}/p) &= (\mathbb{Z}/p\mathbb{Z})^2 \\ H^1((X_2)_{\mathbb{C}}, \mathbb{Z}/p) &= (\mathbb{Z}/p\mathbb{Z})^3 \end{aligned}$$

So the  $p$ -torsion is not determined by the special fibre alone!

**Theorem 30** (Bhatt–Morrow–Scholze). *Let  $R$  be a DVR of mixed characteristic  $(0, p)$  and  $K = \mathrm{Frac}(R)$ ,  $k = R/\mathfrak{m}$ . Let  $\mathcal{X}/R$  be smooth and proper, so that we have*

$$\begin{array}{ccccc} X & \longrightarrow & \mathcal{X} & \longleftarrow & Y \\ \downarrow & & \downarrow & & \downarrow \\ \mathrm{Spec}(K) & \longrightarrow & \mathrm{Spec}(R) & \longleftarrow & \mathrm{Spec}(k) \end{array}$$

we have  $\dim_{\mathbb{F}_p} H^n(\overline{X}, \mathbb{Z}/p\mathbb{Z}) \leq \dim_k H_{dR}^n(Y)$

*Remark 31.*  $H_{dR}^n(Y) = H^n(\Omega_{Y/k}^\bullet)$ , and we have the usual spectral sequence

$$H^s(Y, \Omega_Y^t) \implies H^n(\Omega_{Y/k}^\bullet)$$

with  $n = s + t$ . Moreover

$$\dim_k H_{dR}^n(Y) \leq \sum_{s+t=n} \dim_k H^s(Y, \Omega^t)$$

Usual Hodge theory tells us that for smooth proper  $X/\mathbb{C}$ ,

$$H^n(X, \mathbb{Z}) \otimes \mathbb{C} = \bigoplus_{s+t=n} H^s(X, \Omega^t)$$

and we'd like a  $p$ -adic analogue. Consider  $\mathbb{C}_p = \overline{\mathbb{Q}_p}^\wedge$ , then there is an analogue.

**Theorem 32** (Faltings). *Let  $K$  be a complete discretely valued field of characteristic 0 and residue characteristic  $p > 0$ . Assume that the residue field is perfect. Let  $\mathbb{C}_p = \overline{K}^\wedge$ . Then for  $X/K$  smooth and proper we have*

$$H_{\mathrm{et}}^n(X, \mathbb{Z}_p) \otimes_{\mathbb{Z}_p} \mathbb{C}_p \cong \bigoplus_{s+t=n} H^s(X, \Omega^t) \otimes_K \mathbb{C}_p(-t)$$

which is an isomorphism of  $\Gamma_K$ -modules.

**Theorem 33.** *Let  $X$  be a smooth proper variety over  $\mathbb{C}$ . Then for every Zariski open  $U \subseteq X$  we have  $\dim \operatorname{im}(H^n(X, \mathbb{Z}/p\mathbb{Z}) \rightarrow H^n(U, \mathbb{Z}/p\mathbb{Z})) \geq h_X^{0,n}$ , where*

$$h_X^{0,n} = \dim_{\mathbb{C}} H^n(X, \mathcal{O}_X).$$

Prismatic cohomology should somehow see crystalline, de Rham, Hodge–Tate, and  $p$ -adic étale cohomology all at once.

#### 4. $\delta$ -RINGS

Let  $A$  be a ring without  $p$  torsion and assume that we have  $\phi : A \rightarrow A$  a ring homomorphism lift of the Frobenius  $\operatorname{Fr} : A/p \rightarrow A/p$  and write

$$\phi(x) = x^p + p\delta(x).$$

Since  $\phi(xy) = \phi(x)\phi(y)$ , we can deduce from evaluating both sides that

$$(1) \quad \delta(xy) = x^p\delta(y) + y^p\delta(x) + p\delta(x)\delta(y).$$

Moreover since  $\phi(x+y) = \phi(x) + \phi(y)$  we get

$$(2) \quad \delta(x+y) = \frac{x^p + y^p - (x+y)^p}{p} + \delta(x) + \delta(y).$$

**Definition 34.** A  $\delta$ -ring  $A$  is a pair  $(A, \delta)$  of a ring  $A$  and a map  $\delta : A \rightarrow A$  satisfying (1)(2) and such that  $\delta(1) = \delta(0) = 0$ .

Then there is a map

$$\begin{aligned} \{\delta\text{-structures}\} &\rightarrow \{\text{lifts of Fr}\} \\ \delta &\mapsto \phi(x) = x^p + p\delta(x) \end{aligned}$$

which is bijective when  $A$  is torsionfree.

*Remark 35.*  $\delta$ -structures also correspond to sections of the map we’ve discussed before  $W_2(A) \rightarrow A$ .

**Example 36.** Consider  $A = \mathbb{Z}$ . Then  $\phi$  must be the identity map and so the only  $\delta$ -structure is  $\delta(n) = \frac{n-n^p}{p}$ .

Moreover, the forgetful functor from  $\delta$ -rings to rings has both adjoints: left and right.

$$\begin{aligned} R(A) &:= W(A) \\ L(\mathbb{Z}[x]) &:= \mathbb{Z}\{x\} = \mathbb{Z}[x, \delta(x), \delta^2(x), \dots] \end{aligned}$$

where  $\delta(\delta^n(x)) = \delta^{n+1}(x)$ .

#### 5. PRISMS

Okay, now let us pass on to prisms! A prism will correspond to a  $\delta$ -ring and an ideal.

**Definition 37.** A prism is a pair  $(A, I)$  where  $A$  is a  $\delta$ -ring and  $I \leq A$  is an invertible (i.e. locally principal) ideal such that the following properties are satisfied:

- (1)  $A$  is  $(I, p)$ -complete;
- (2)  $p \in I + \phi(I) \cdot A$  (for  $\phi$  the Frobenius associated to our  $\delta$ -ring  $A$ );
- (3)  $A$  has bounded  $p$ -torsion ( $A[p^\infty] = A[p^N]$  for some  $N$ ).

From now on we'll always denote by  $\phi : A \rightarrow A$  the lift of Frobenius corresponding to the  $\delta$ -structure.

*Remark 38.* Consider the closed subscheme in  $\text{Spec}(A)$  given by the ideal  $I$  and the one by  $\phi(I)$ . This is saying the intersection is of characteristic  $p$ .

**Definition 39.** We say that a prism  $(A, I)$  is

- perfect if  $\phi : A \rightarrow A$  is an isomorphism.
- crystalline if  $I = \langle p \rangle$

**Example 40.**  $\mathbb{Z}_p$  with the trivial  $\delta$ -structure is perfect (Frobenius lifts to the identity map), and we can choose  $I = \langle p \rangle$  for the ideal to get that  $(\mathbb{Z}, \langle p \rangle)$  is a perfect crystalline prism.

**Example 41.** Another perfect crystalline prism is of the form  $(W(R), \langle p \rangle)$  where  $R$  is a perfect  $\mathbb{F}_p$ -algebra.

**Example 42.** Consider  $(\mathbb{Z}_p[[u]], (u-p))$  with the unique  $\delta$ -structure such that the lift of Frobenius sends  $\phi(u) = u^p$ . We claim that it is a prism which is neither complete nor crystalline. Indeed, it is a prism  $\langle I, \phi(I) \rangle = \langle u-p, u^p-p \rangle$  which contains  $p$ .  $\phi$  is clearly not an isomorphism and nor is  $I = \langle p \rangle$ .

**Lemma 43** (rigidity). *Let  $f : (A, I) \rightarrow (B, J)$  be a map of prisms (i.e. a ring homomorphism such that  $f(I) \subseteq J$  and  $f = \circ\delta_A = \delta_B \circ f$ ). Then*

$$f(I) = J.$$

## 6. PERFECTOID RINGS

Let

$$R^\flat = \lim_{\leftarrow \phi} R/p = (\dots \xrightarrow{\phi} R/p \xrightarrow{\phi} R/p)$$

**Definition 44.** Let  $A_{\text{inf}}(R) = W(R^\flat)$

**Example 45.** For  $R = \mathbb{Z}$ ,  $R^\flat = \mathbb{Z}/p\mathbb{Z}$ .

**Lemma 46.** *For  $R$   $p$ -complete, we have an isomorphism*

$$\lim_{x \rightarrow x^p} R \rightarrow R^\flat.$$

*In particular we obtain maps*

$$\psi : R^\flat \rightarrow R$$

For  $R$  a  $p$ -complete ring, we then have maps

$$A_{\text{inf}}(R) \rightarrow R$$

induced as follows.

$$\begin{aligned} W(R^\flat) &\rightarrow R \\ \sum p^i [x_i] &\mapsto \sum p^i \psi(x_i) \end{aligned}$$

with commutative diagram

$$\begin{array}{ccc} A_{\text{inf}}(R) & \xrightarrow{\theta} & R \\ \downarrow & & \downarrow \\ R^\flat & \longrightarrow & R/p \end{array}$$

**Definition 47.** A perfectoid ring is a ring of the form  $A/I$  for a perfect prism  $(A, I)$ .

We get natural functions

$$\begin{aligned} \{\text{perfectoid rings}\} &\leftrightarrow \{\text{perfect prisms}\} \\ A/I &\leftarrow (A, I) \\ R &\mapsto (A_{\text{inf}}(R), \ker(\theta)) \end{aligned}$$

**Definition 48.** Let  $(A, I)$  be a base prism and  $\bar{A} = A/I$ . Let  $\mathcal{X}$  be a  $p$ -formal scheme over  $\bar{A}$ . Then the prismatic site  $(\mathcal{X}/A)_{\Delta}$  has

- objects: a map of prisms  $(A, I) \rightarrow (B, IB)$  together with a map  $\text{Spf}(B/IB) \rightarrow \mathcal{X}$  such that the diagram below commutes.

$$\begin{array}{ccc} \text{Spf}(B/IB) & \longrightarrow & \text{Spf}(B) \\ \downarrow \mathcal{X} & & \downarrow \\ \text{Spf}(\bar{A}) & \longrightarrow & \text{Spf}(A) \end{array}$$

- morphisms: morphisms such that the diagram below commutes

$$\begin{array}{ccc} & \text{Spf}(B/IB) & \longrightarrow & \text{Spf}(B) \\ & \swarrow & \downarrow & \downarrow \\ \mathcal{X} & & \text{Spf}(B'/IB') & \longrightarrow & \text{Spf}(B') \\ & \nwarrow & & & \end{array}$$

- covers:  $(\mathcal{X} \leftarrow \text{Spf}(B) \rightarrow \text{Spf}(B/IB))$  with  $\bigcup_{\alpha} \text{Spf}(B_{\alpha}) \rightarrow \text{Spf}(B)$  flat (Zariski)

*Remark 49.* If  $\mathcal{X}$  is affine then can replace  $\text{Spf}$  with  $\text{Spec}$  and take the trivial topology.

**Definition 50.** Let

$$\mathcal{O}_{\Delta}(\mathcal{X} \leftarrow \text{Spf}(B) \rightarrow \text{Spf}(B/IB)) = B.$$

Then take

$$R\Gamma_{\Delta}(\mathcal{X}/A) = R\Gamma((\mathcal{X}/A)_{\Delta}, \mathcal{O}_{\Delta}) = \text{RHom}(\mathbb{Z}_{\Delta}, \mathcal{O}_{\Delta}) = \text{Rlim}_{T \in (\mathcal{X}/A)_{\Delta}} \mathcal{O}_{\Delta}(T).$$

Indeed, since  $B$  all have compatible  $\delta$ -structures in a cover, we get that  $R\Gamma_{\Delta}(\mathcal{X}/A)$  is a  $(p, I)$ -complete  $A$  algebra object a lift of Frobenius

$$\phi_{\Delta} : R\Gamma_{\Delta}(\mathcal{X}/A) \rightarrow \phi_{*} R\Gamma_{\Delta}(\mathcal{X}/A)$$

**Theorem 51** (Bhatt-Scholze). *Let  $(A, I)$  be a prism and  $\mathcal{X}$  be a smooth formal scheme over  $\bar{A}$ .*

- (1) *If  $(A, I) = (W(k), (p))$  where  $k$  is a perfect field of characteristic  $p$ , then*

$$\phi_{*}(R\Gamma_{\Delta}(\mathcal{X}/A)) \cong R\Gamma_{\text{crys}}(\mathcal{X}/W(k)).$$

(2) If  $\mathcal{X} = \mathrm{Spf}(R)$  is affine then

$$R\Gamma_{\Delta}(\mathcal{X}/A) \otimes_A^{\mathbb{L}} \bar{A} \cong \Omega_{R/\bar{A}}^q \otimes (I/I^2)^{\otimes -q}.$$

(3)

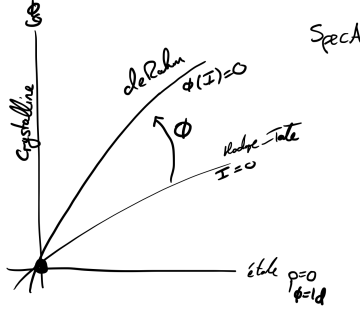
$$\phi^* R\Gamma_{\Delta}(\mathcal{X}/A) \otimes_A^{\mathbb{L}} \bar{A} \cong R\Gamma(\mathcal{X}, \Omega_{\mathcal{X}}^{\bullet}).$$

(4) Let  $(A, I)$  be locally perfect. Then

$$(R\Gamma_{\Delta}(\mathcal{X}/A)[\frac{1}{I}]) \otimes_A A/p^n \stackrel{\phi_{\Delta}=1}{\cong} R\Gamma_{\mathrm{et}}(\mathcal{X}_{\eta}, \mathbb{Z}/p^n\mathbb{Z})$$

*Remark 52.* If  $\mathcal{X}$  is smooth and proper over  $\bar{A}$  then all cohomology groups are the usual ones

This information is best viewed through the diagram below. In particular Frobenius relates Hodge–Tate and de Rham cohomology.



## 7. APPLICATION

Let  $\mathbb{C}_p = \overline{\mathbb{Q}_p}^{\wedge}$  and take

$$\begin{array}{ccccc} X & \longrightarrow & \mathcal{X} & \longleftarrow & Y \\ \downarrow & & \downarrow & & \downarrow \\ \mathrm{Spec}(\mathbb{C}_p) & \longrightarrow & \mathrm{Spec}(\mathcal{O}_{\mathbb{C}_p}) & \longleftarrow & \mathrm{Spec}(k) \end{array}$$

$$\dim_{\mathbb{F}_p} H^n(\bar{X}, \mathbb{Z}/p) \leq \dim_k H_{dR}^n(Y).$$

Fortunately,  $\mathcal{O}_{\mathbb{C}_p}$  is already perfectoid. Consider the perfect prism induced by the top row of the diagram below.

$$\begin{array}{ccc} A_{\mathrm{inf}}(\mathcal{O}_{\mathbb{C}_p}) = W(\mathcal{O}_{\mathbb{C}_p}^{\flat}) & \longrightarrow & \mathcal{O}_{\mathbb{C}_p} \\ \downarrow & & \downarrow \\ \mathcal{O}_{\mathbb{C}_p}^{\flat} & \longrightarrow & \mathcal{O}_{\mathbb{C}_p}/p. \end{array}$$

$$R\Gamma_{\Delta}(\mathcal{X}/A) = R\Gamma(\mathcal{X}/A_{\mathrm{inf}}(\mathcal{O}_{\mathbb{C}_p})).$$

Then

$$\left( R\Gamma_{\Delta}(\mathcal{X}/A) \otimes_{A_{\mathrm{inf}}}^{\mathbb{L}} \mathbb{C}_p^{\flat} \right)^{\phi=1} = R\Gamma_{\mathrm{et}}(\bar{X}, \mathbb{Z}/p).$$

In fact it turns out that

$$\begin{aligned}
 H_{\text{et}}^n(\overline{X}, \mathbb{Z}/p\mathbb{Z}) &= \dim_{\mathbb{F}_p} H^n \left( \left( R\Gamma_{\Delta}(\mathcal{X}/A) \otimes \mathbb{C}_p^b \right)^{\phi=1} \right) \\
 &\leq \dim_{\mathbb{C}_p} H^n \left( R\Gamma_{\Delta}(\mathcal{X}/A) \otimes \mathbb{C}_p^b \right) \\
 &\leq \dim_k H^n (R\Gamma_{\Delta}(\mathcal{X}/A) \otimes k) \\
 &= \dim_k H_{dR}^n(Y)
 \end{aligned}$$